2124

Attorney Docket No. <u>GB20000078US1</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicant(s): Anthony J. O'Dowd
Docket No.: GB920000078US1
Serial No.: 09/682,520
Filing Date: September 13, 2001
Group: 2124
Examiner: Trenton J. Roche

Title: Tracing the Execution Path of a Computer Program

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant submits herewith the following document relating to the above-identified patent application:

(1) Certified Copy of United Kingdom Patent Application No. 0022787.6.

In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error.

Respectfully submitted,

Date: November 5, 2004

William E. Lewis
Attorney for Applicant(s)
Reg. No. 39,274
Ryan, Mason & Lewis, LLP
90 Forest Avenue
Locust Valley, NY 11560
(516) 759-2946

The
Patent
Office

The Patent Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

CERTIFIED COPY OF PRIORITY DOCUMENT

he undersigned, being an officer duly authorised in accordance with Section 74(1) and (4)
e Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the
troller-General, hereby certify that annexed hereto is a true copy of the documents as
ally filed in connection with the patent application identified therein.

In a   dance with the Patents (Companies Re-registration) Rules 1982, if a company named
in thi   tificate and any accompanying documents has re-registered under the Companies Act
1980   h the same name as that with which it was registered immediately before re-
egistr   n save for the substitution as, or inclusion as, the last part of the name of the words
public   ited company" or their equivalents in Welsh, references to the name of the company
 this c   ficate and any accompanying documents shall be treated as references to the name
ith wh   it is so re-registered.

accord   with the rules, the words "public limited company" may be replaced by p.l.c.,
, P.L.C   PLC.

egistrati   nder the Companies Act does not constitute a new legal entity but merely
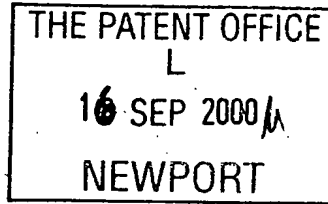cts the c   pany to certain additional company law rules.

Signed

Dated   20 NOV 2008

7/77

The
Patent
Office

Statement of inventorship and of right to grant of a patent

THE PATENT OFFICE
L
16 SEP 2000
NEWPORT

The Patent Office

Concept House
Cardiff Road
Newport
South Wales NP10 8QQ

1.	Your reference	GB000078GB1
2.	Patent application number *(if you know it)*	**0022787.6**
3.	Full name of the or of each applicant	INTERNATIONAL BUSINESS MACHINES CORPORATION
4.	Title of invention	TRACING THE EXECUTION PATH OF A COMPUTER PROGRAM
5.	State how the applicant(s) derived the right from the inventor(s) to be granted a patent	By employment and agreement
6.	How many, if any, additional Patents Forms 7/77 are attached to this form?	
7.		I/We believe that the person(s) named over the page (and on any extra copies of this form) is/are the inventor(s) of the invention which the above patent application relates to.

Signature _____ M J Jennings

15 September 2000
Date

8.	Name and daytime telephone number of person to contact in the United Kingdom	J N Watson 01962 818955

Enter the full names, addresses and postcodes of the inventors in the boxes and underline the surnames

Anthony John <u>O'DOWD</u> (UK resident) c/o IBM United Kingdom Limited Intellectual Property Law Hursley Park Winchester Hampshire SO21 2JN UK
Patents ADP number *(if known)* 798 2051 001

Patents ADP number *(if known)*

If there are more than three inventors, please write their names and addresses on the back of another Patents Form 7/77 and attach it to this form
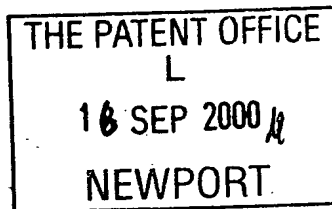
Patents ADP number *(if known)*

REMINDER

Have you signed the form?

1SSEP00 E568923-1 000611
P01/7700 0.00-0022787.6

1/77

Patents Act 1977

Rule 16

The Patent Office

Request for grant of a patent

THE PATENT OFFICE
L
1 6 SEP 2000
NEWPORT

The Patent Office

Concept House
Cardiff Road
Newport
South Wales NP10 8QQ

GB000078GB1

2.	Patent application number *(The Patent Office will fill in this part)*	**0022787.6**

·3.	Full name, address and postcode of the or of each applicant *(underline all surnames)*	INTERNATIONAL BUSINESS MACHINES CORPORATION Armonk New York 10504 United States of America
	Patents ADP number *(if you know it)*	519637 001
	If the applicant is a corporate body, give the country/state of its incorporation	State of New York United States of America

4.	Title of the invention	TRACING THE EXECUTION PATH OF A COMPUTER PROGRAM

5.	Name of your agent *(if you have one)*	M J Jennings
	"Address for Service" in the United Kingdom to which all correspondence should be sent *(including the postcode)*	IBM United Kingdom Limited Intellectual Property Department Hursley Park Winchester Hampshire SO21 2JN
	Patents ADP number *(if you know it)*	7783711500 1

		Country	Priority App No *(if you know it)*	Date of filing *(day/month/year)*
6.	If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and *(if you know it)* the or each application number			

		No of earlier application		Date of filing *(day/month/year)*
7.	If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application			

Is a statement of inventorship and of right to grant of a patent required in support of this request? *(Answer 'Yes' if:*
a) any applicant named in part 3 is not an inventor, or
b) there is an inventor who is not named as an applicant, or
c) any named applicant is a corporate body.)

Yes

---

9.   Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

Description   13

Claim(s)   3

Abstract   1

Drawing(s)   7 ~4/~

---

10.   If you are also filing any of the following, state how many against each item.

Priority documents

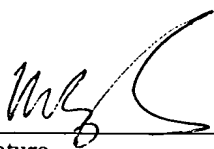Translations of priority documents

Statement of inventorship and right to grant of a patent *(Patents Form 7/77)*   2

Request for preliminary examination and search *(Patents Form 9/77)*

Request for substantive examination *(Patents Form 10/77)*

Any other documents *(please specify)*

---

11.   I/We request the grant of a patent on the basis of this application

_____   15 September 2000
Signature   M J Jennings   Date

---

12.   Name and daytime telephone number of person to contact in the United Kingdom

J N Watson
01962 818955

TRACING THE EXECUTION PATH OF A COMPUTER PROGRAM

The present invention relates to tracing, and more particularly to tracing the execution path of a computer program.

Computers have proliferated into all aspects of society and increasingly form the backbone of large organisations. When a computer system fails to respond as intended, businesses are invariably unable to provide even the most basic of services. Money, reputation or even lives may be lost, dependant upon the criticality of the service, the outage time etc.

In today's increasingly competitive marketplace, quality is of the utmost concern. Users' expectations are high and thus the reliability of hardware/software is especially important. Customers do not tolerate mistakes and the later a defect is discovered, the more costly it can prove to the manufacturer.

Typically therefore, software developers implement a rigorous testing regime in order to ensure that a product or system is fully operational and consistently performs according to its functional specification, before it is made available to the public.

Software development is however an increasingly complex process. Even using the most rigorous techniques, software developed by people will suffer failures. Most software developers understand this and therefore accept the need to be able to effectively diagnose the cause of such a software failure.

A most effective technique is known as "tracing". In this technique a program records ("traces") important information that can be used for further analysis after the occurrence of a software failure. Figure 1 is a component diagram of a computer system performing tracing according to the prior art. A computer system 10, runs an operating system (o/s) 20, which could for example be Windows NT available from Microsoft Corporation. A program 30 executes on top of operating system 20 and may consist of a number of separate modules (not shown), each defining separate functionality. As program 30 executes, it calls various trace functions 40 which are used to record certain information in a trace log 50. Note, although the log is shown as present on the same computer system, computer

system 10 may in fact be connected to another machine across a network (neither shown) and the trace log may instead reside on that machine.

Tracing typically occurs at three stages in the processing or executing of a program. These stages are known as the "entry", "exit" and "exception" points of tracing.

The "entry" trace information is gathered when a module obtains control from another module. The information recorded is normally the input values provided to the module.

The "exit" trace information is gathered when a module returns control to a module from which it has previously received control. The information recorded is normally the output values returned to the module from which it obtained control in the first place.

The "exception" trace information is gathered whenever an extraordinary or interesting event occurs within a module after it has been entered but before it has returned control to the module from whom it originally received control. The information gathered is normally information that relates to the exceptional condition that has occurred.

As previously mentioned the entry, exit and exception information is outputted to a trace log. Upon the occurrence of a software failure, a software developer can analyse the trace information in this log. This information can then be used to determine which modules were called and what information was passed between them, including any interesting events that have occurred. This hopefully allows the developer to understand and locate the source of the error and fix it.

Figure 2 shows entry, exit and exception tracing according to the prior art. A program 100 consists of two modules, "Main" 105 and "Function" 110. The program runs on an operating system 120 which initially passes control to Main. Main receives two parameters, p1 and p2 as input. These may be specified by the user from the command-line or be the result of output from another program / module (not shown). Main calls a trace_in function to record entry trace to trace log 130, and a trace_out function to record exit trace to trace log 130.

Trace_in is passed three parameters, "Main", p1, and p2. "Main" is used as an identifier in the trace log such that the values of p1 and p2

can be connected with module Main. It can be seen from the log that
parameters p1 and p2 have values of 1 and 3 respectively upon entry to
Main. Control is then passed to module FUNCTION 110, which passes
parameters p3 and p4 as parameters. FUNCTION performs a trace_in on both
p3 and p4, which are identified by the heading "FUNCTION" in trace log 130.
It can be seen that p3 has a value of 2 and p4 has a value of 4 upon entry
to the module FUNCTION.

A trace_data function writes extraordinary events / events of
interest to the trace log. It passes an identifier "FUNCTION" and the data
to be read out DATA1, or a number of parameters. This is exception trace.

Module FUNCTION ends and a trace_out function is called passing it
the "FUNCTION" identifier, along with parameters p3 and p4. Note, the
dotted lines connecting the various trace functions represent calculations
and processing performed by the program but not shown (i.e. additional
program instructions). It can be seen from trace log 130 that such program
instructions have manipulated p3 and p4 such that their values have changed
from those initially recorded at trace_in. P3 now equals 5 and p4 equals
2.

As previously mentioned, exit information (via the trace_out
function) is recorded prior to a module relinquishing control to the module
which originally called it. In this example control is returned to Main
105, additional processing performed (dotted lines) and the trace_out
function called. It is passed the "Main" identifier, along with p1 and p2.
The trace log once again shows that additional program instructions have
manipulated p1 and p2 such that their values are now 4 and 1 respectively.
Control is then returned to operating system 120.

Tracing, typically occurring at entry, exit and exception, has a very
coarse granularity. It provides very little information as to the path
followed during program execution. It is possible to obtain a reasonable
level of detail by calling the trace_data function on a regular basis (or
whenever anything unusual happens (e.g. the value of a particular parameter
is not as expected)), but this is expensive in terms of CPU time. Even on
the OS/390 systems (available from IBM Corporation), in which trace
information is dumped to fast volatile memory, performance is degraded by
approximately 50%. For this reason customers often cannot afford to leave
trace running continuously. Thus when a software failure occurs, the
operating system often provides call hierarchy (i.e. which modules were

called during program execution) but does not provide any more information than that. Time is lost because, trace then has to be turned on and the failure simulated before diagnostics can begin. Customers do not expect these sort of delays. In their eyes, software that they've paid good money for is not supposed to fail.

Not only is tracing expensive in terms of CPU performance, but hand-instrumenting a program with trace instructions is a time consuming job. Knowing the most appropriate points at which to place trace_data statements also requires experience. Trace_in and trace_out statements, on the other hand, always go at the beginning and end of a module respectively.

Even when all the appropriate trace information is captured in a trace log, this information can be difficult to extract. When a module completes, it is only possible to determine which processing occurred within the module by searching for the previous entry trace for that module and examining all the trace entries that this module may have called. This is exceptionally tedious, especially if the flow of control through modules is complex. The situation may be further complicated in a multi-user system where trace records for multiple activities are traced at the same time.

Figure 3 shows tracing in a multi-user system according to the prior art. A computer system (not shown) runs a Process 1. This includes three program modules A, B and C, each with trace_in and trace_out function calls. Trace Log 150 shows the trace information outputted by process 1. It can be seen that trace_in (ti) is called for all modules. Trace_out (to) is then called for module C. Note, although not shown, the log will in reality contain parameter values for each trace function call (see figure 2).

Before trace_out can be called for modules B and A (in that order), process 2 is started. Process 2 also includes three modules, A, B and C, each calling the trace_in and trace_out functions. Thus process 2 dumps the trace_in parameter values for module A out to log 150. This entry fills up the log. New information is thus written to the beginning of the log (shown by the circular arrows). Trace Log 150' shows what happens next. Process 2 runs faster than process 1 and thus it continues to write to the trace log 150' from the top. Process 2 thus outputs trace_in information for its module B, and C. It also dumps trace_out information

for its modules C and B. Obviously both processes have not finished outputting information to the trace log 150', but it can be seen that at this point in time all the trace information above the dotted line in trace log 150 for process 1, has been overwritten by process 2. Thus in the

5   event of a software failure, log 150' provides very little help in diagnosing the execution flow taken by process 1. Note, Processes 1 and 2 may be on the same machine or on separate machines.

Accordingly, the invention provides a method for tracing the

10   execution path of a computer program comprising at least one module including a plurality of instructions, at least one of said instructions being a branch instruction, the method comprising the steps of: identifying each branch instruction; evaluating each branch instruction to be one of true and false; and responsive to an evaluation of true, pushing

15   a unique identifier into a predefined area of storage, wherein said unique identifier is associated with the instructions executed as a result of said evaluation of true.

Preferably, the storage area is in volatile memory and the contents

20   of the storage area is output to non-volatile memory (e.g. a file or trace log) at a predetermined point in time. Alternatively, the storage area itself is non-volatile memory.

In the preferred embodiment trace information is output to the trace

25   log upon exit from the at least one module and the contents of the storage area is output at the same time. Thus the log contains a record of the values of any specified parameters upon exit from the at least one module, as well as a record of the execution path taken through that module.

30   Since the exit record is the most recent trace record to be written, it is preferably possible to determine the flow through the module even if this is the only trace record available (i.e. the only record that has not been overwritten). Thus the problem addressed with reference to figure 3 is addressed. Furthermore, because the contents of the storage area is

35   preferably outputted as one record, it is easy to extract the information from the trace log. The trace log may consist of multiple trace entries interwoven with trace entries from other systems. According to the preferred embodiment, one can easily extract the relevant entry as all the information is held on the one line.

40

Alternatively, the contents of the storage area is output to the log when the storage area is determined to be full. As yet another alternative, the contents of the storage area is output to the log upon the occurrence of a failure within the program.

In all cases customer satisfaction is increased because the information contained within the storage area can be used to diagnose problems more quickly. The information provides a much greater level of detail than previously possible. It indicates the execution path or flow followed through a program and is especially useful when the program being traced is complex. It provides a valuable insight into the behaviour of the program at run-time.

According to the preferred embodiment, the cost of pushing a unique identifier into the storage area upon execution of a particular set of program instructions is trivial. Thus customers can afford to run with trace on continuously so that important problem diagnostics information can be collected in real-time.

Preferably, when the last available space in the storage area has been written, old identifiers are overwritten from the beginning of the area with new values. Thus the storage area can be of a limited size and does not consume an unnecessary amount of resources. A sensible size for the storage area depends upon the complexity of the program being traced.

According to the preferred embodiment, the storage area stores the position at which the last unique identifier was written. Thus it is possible to determine the number of unique identifiers that have been overwritten in the circular storage area, before being outputted to the trace log. If this number is excessively large, the size of the storage area is preferably increased.

In a further aspect, the invention provides an apparatus for tracing the execution path of a computer program comprising at least one module including a plurality of instructions, at least one of said instructions being a branch instruction, said apparatus comprising: means for identifying each branch instruction; means for evaluating each branch instruction to be one of true and false; and means, responsive to an evaluation of true, for pushing a unique identifier into a predefined area of storage, wherein said unique identifier is associated with the instructions executed as a result of said evaluation of true.

In a yet still further aspect, the invention provides a method for instrumenting a computer program comprising at least one module including a plurality of instructions, at least one of said instructions being a branch instruction, each branch instruction being evaluated to be one of true and false at run-time, with at least one signature instruction for indicating the execution path of said program at run-time, the method comprising the steps of: identifying each branch instruction; identifying the instructions associated with an evaluation of true at run-time; instrumenting said instructions associated with an evaluation of true with a signature instruction, wherein said signature instruction causes a unique identifier to be pushed into a predefined area of storage upon execution of said true instructions at run-time.

According to the preferred embodiment, little experience is required to hand-instrument the code, since such signature instructions are always associated with a branch instruction. This is unlike the placing of trace_data statements, which do require more experience. Preferably, it is only as a result of a branch instruction that the execution flow changes. Otherwise, each instruction is executed on a line by line basis.

According to another aspect, the invention provides a compiler for instrumenting a computer program comprising at least one module including a plurality of instructions, at least one of said instructions being a branch instruction, each branch instruction being evaluated to be one of true and false at run-time, with at least one signature instruction for indicating the execution path of said program at run-time, said compiler comprising: means for identifying each branch instruction; means for identifying the instructions associated with an evaluation of true at run-time; means for instrumenting said instructions associated with an evaluation of true with a signature instruction, wherein said signature instruction causes a unique identifier to be pushed into a predefined area of storage upon execution of said true instructions at run-time.

According to the preferred embodiment, automatically instrumenting a program with signature instructions greatly eases the job of the software developer. Detailed program execution flow can be obtained, without the time consuming task of modifying the code by hand.

A preferred embodiment of the present invention will now be described, by way of example only, and with reference to the following drawings:

Figure 1 is a component diagram of a computer system performing tracing according to the prior art;

Figure 2 shows entry, exit and exception tracing according to the prior art;

Figure 3 shows tracing in a multi-user system according to the prior art; and

Figures 4 and 5 illustrate tracing according to a preferred embodiment of the present invention.

Figure 6 shows the process of outputting signature information at the first point of failure according to a preferred embodiment of the present invention; and

Figure 7 shows the operation of a compiler in accordance with a preferred embodiment of the present invention.

Figures 4 and 5 should be read in conjunction with one another. Figure 5 shows a sample program, "test program", from which execution information is gathered at run-time according to a preferred embodiment of the present invention. Figure 4 is a flow chart illustrating how the sample program executes in accordance with the preferred embodiment.

Upon execution of the program, a small, fixed size area called a signature area 300 is defined (step 200). In the example, the signature area may contain up to eight signature points 310 (define sig_area size(8)). Each signature point comprises a unique 4 bit identifier (number). This identifier is, according to the preferred embodiment, used to indicate the execution path or flow followed through the program.

Typically a program executes each instruction line by line, until a decision point or branch instruction is reached. It is at such a point that the program may jump to a specified piece of code (set of instructions) or else ignore that code and continue with subsequent instructions. One example of a branch instruction is an "if statement" (found in most programming languages):

```
if condition = TRUE
      do
                instruction 1;
                instruction 2;
      end do;
else
      do
                instruction 3;
      end do;
end if;
```

It can be seen from the above that either instructions 1 and 2 are
executed, or instruction 3 alone, dependant upon whether "condition" = TRUE
5   or FALSE. There are many other examples of branch instructions (e.g. case
tests / statements found in the C programming language) and it is at such
points that it is helpful to capture execution flow information. The
signature area 300 holds this information.

10   At step 210 of figure 4, the signature area is initialised to 0 (set
sig_area=0) (not shown in figure 5). In this example, the trace_in
function is called as before (step 220), although this does not have to be
so. Note, trace_in is passed one or more parameters as previously
explained (not shown in figure 5).

15

In the example, the program then loops until a condition evaluates to
TRUE (step 230), at which point the program calls the trace_out function
(step 280). This function also accepts parameters as previously discussed
(not shown). Whilst however the condition evaluates to FALSE, the logic
20   between "repeat until..." and "end repeat" is performed. In the example,
this logic consists of a number of case statements (branch instructions).
A case statement is a Boolean test. In the example, the first case
statement evaluates to TRUE when a parameter (not shown) = 1, the second
case statement evaluates to TRUE when another parameter (not shown) = 2,
25   and so on. An evaluation of TRUE results in the code forming part of the
current case statement being executed, otherwise the next case statement is
evaluated. Thus it will be appreciated that the execution flow through the
program will vary dependant upon the evaluation of each case statement.

30   Thus in accordance with figure 4, a case statement is evaluated (step
230). If it evaluates to FALSE, then step 270 checks for another case

statement. If there aren't any, then step 230 evaluates the original
condition. The program then either loops round again or outputs trace at
step 280.

5   If on the other hand, a case statement evaluates to TRUE at step 240,
then an identifier is pushed into the signature area at step 250 (e.g. push
1 into sig_area). Although in the example, this identifier is hard-coded,
this need not be the case. The hard-coded numeral may, for example, be
replaced with a variable, initially set to 0 and then incremented each time
10   a push into the signature area is made. A pointer 320 keeps note of the
current position in the signature area. Having pushed a unique identifier
into this signature area, the pointer is incremented at step 260.

          According to the preferred embodiment, signature points are added
15   into the signature area from left to right (Note, this does not have to be
the case and other variations are considered within the spirit of the
invention). In the example, the seven most recent signature points are
held in the signature area. These are used to track the execution flow
through the program. The purpose of the last element 330 will be explained
20   later.

          In the example, case statement 1 is evaluated to TRUE first such that
1 is pushed into the signature area. Execution then jumps to case
statement 4 (i.e. case statements 2 and 3 evaluate to false)(arrow 1); case
25   statement 2 (arrow 2); case statement 3 (arrow 3); case statement 1 (arrow
4); and finally case statement 2 (arrow 5). It can be seen that the
corresponding identifiers have been pushed into the signature area (1; 4;
2; 3; 1; 2). These numbers indicate which set of instructions have been
executed at run-time and in what order.
30
          According to the preferred embodiment, when the program or module
finishes, trace is output to trace log 340 as before (step 280).
Additionally the information contained within the signature area is also
output to the trace log (step 290). The signature information provides
35   valuable insight into the behaviour of the program. Should the program
fail or behave erroneously, then the signature points can be used in
subsequent problem diagnostics.

          According to the preferred embodiment, once the signature area 300 is
40   full, old signature points are overwritten. Note, according to the
preferred embodiment signature information is only written out to the trace

log along with a trace exit record (i.e. at the end of the module/program).
If a module/program is particularly complex, then the signature area may
not be large enough. Thus signature points may be overwritten before they
are recorded in the trace log. The last element 330 holds the number of
the last signature point that is written (6). It is possible to determine
from this number how much signature information is missing as a result of
being overwritten. For example, if a signature point is written into
element 7, this fills the signature area. A subsequent signature point is
thus written into the first element of the signature area. Last element
330 is then updated to read 1. It is thus possible to determine that the
first element in the signature area has been overwritten before being
outputted to the trace log (i.e. it is possible to determine that this
information is missing). If a large number of signature points are
continually overwritten before being recorded in the trace log, then a
larger signature area can be defined. In an alternative embodiment, the
signature information is written straight out to the trace log every time
the signature area is filled.

For many modules it is sufficient to have a small number (e.g. 15) of
signature points and a signature area limited to 32 bits (i.e. 4 bits per
signature point, making 8 signature points). The push operation usually
becomes two low-level instructions (a SHIFT followed by an OR). Therefore,
for all practical purposes, the implementation cost is negligible. Even
for larger signature areas and more signature points, a trivial marginal
processing overhead is required. Thus a simple and efficient determination
of module processing is provided. As a result, customers can afford to run
with a more detailed trace (i.e. than that provided by the trace_in and
trace_out functions) on continuously.

As previously mentioned, according to the preferred embodiment, the
signature information is always written out with the exit trace record
(i.e. the trace_out data). Since the exit trace record is the most recent
trace record to be written, it is possible to determine the flow through
the module even if this is the only trace record available (i.e. the only
record that has not been overwritten). Thus the problem discussed with
reference to figure 3 is addressed.

In an alternative embodiment, the signature information is outputted
to the trace log at the point of failure (see figure 6). This is useful in
the situation where a failure causes the program/module to loop
indefinitely and thus never output that extremely helpful exit record.
Furthermore, customer satisfaction is increased since problems can be

diagnosed much more quickly. There is no need to instrument the program with the more detailed trace_data statements, which takes time and experience. Instead data is captured upon a first failure and technicians can set about solving the problem immediately. Of course outputting the signature information also increases customer satisfaction because it too decreases the time taken to solve a software failure.

In one embodiment a program's code is hand-instrumented with instructions that enable a signature point to be pushed into the signature area. Note, as previously mentioned, such signature points are preferably only required at points where the code branches. Thus less experience is needed to determine where to place the signature points. This is unlike the placing of the trace_data statements, which do require more experience. According to an alternative embodiment, the code is automatically instrumented with such instructions at compile-time.

Figure 7 shows the operation of a compiler in accordance with a preferred embodiment of the present invention. A compiler 410 is provided with a program's source code 400 as input. The compiler processes this source code to produce object code 420. A listing of the source code 415 can also be produced at this stage. The object code is then passed to a linker 430 which uses this code 420 to produce an executable 440.

Typically, there are three stages to the compilation process: lexical analysis; syntax analysis; and code generation. During the lexical analysis, symbols (e.g. alphabetic characters) are grouped together to form tokens. For example the characters P R I N T are grouped to form the command (token) PRINT. In some systems, certain key words are replaced by shorter, more efficient tokens. This part of the compilation process also verifies that the tokens are valid. Next, the syntax analyser checks whether each string of tokens forms a valid sentence. Finally, the code generation stage produces the appropriate object code.

The syntax analyser is able to identify branch instructions in a program's source code. According to the alternative embodiment, the compiler is modified to instrument the code with instructions for pushing a signature point into a defined signature area after each occurrence of a branch instruction. By allowing the compiler to automatically instrument the code at compile-time, the job of the software developer is greatly eased. Detailed execution flow information can be obtained, without the time consuming task of modifying the code by hand. Preferably, the

compiler also instruments the code with an instruction to define the size of the signature area and an instruction to write the signature information out to a trace log at the appropriate point (any one of those discussed above).

5

According to the alternative embodiment, source code is compiled with one or both of two compiler switches set. The first switch determines whether the code is automatically instrumented with signature points or not, and the second switch allows a user to modify the size of the

10   signature area. If the program being traced is particularly complex, then the size of the signature area may need to be increased.

CLAIMS

1.   A method for tracing the execution path of a computer program comprising at least one module including a plurality of instructions, at least one of said instructions being a branch instruction, the method comprising the steps of:

identifying each branch instruction;

evaluating each branch instruction to be one of true and false; and

responsive to an evaluation of true, pushing a unique identifier into a predefined area of storage, wherein said unique identifier is associated with the instructions executed as a result of said evaluation of true.

2.   The method of claim 1, wherein said predefined area of storage is in volatile memory.

3.   The method of claim 1, wherein said predefined area of storage is in non-volatile memory.

4.   The method of claim 1 or 2, comprising the step of:

outputting the contents of said storage area to a file at a predetermined point in time.

5.   The method of claim 4, comprising the step of:

outputting trace information to said file upon exit from said at least one module.

6.   The method of claim 5, wherein the contents of said storage area is outputted at the same time as said exit trace information.

7.   The method of claim 4, wherein the step of outputting the contents of said storage area comprises:

determining whether said storage area is full; and

responsive to a positive determination, outputting said contents to said file.

8.   The method of claim 4, wherein the step of outputting the contents of said storage area comprises:

determining whether a failure has occurred within said program; and

5   responsive to a positive determination, outputting said contents to said file.

9.   The method of any of claims 4 to 8, wherein the step of pushing a unique identifier into a predefined area of storage further comprises the
10   steps of:

determining whether said predefined area of storage is full; and

overwriting the first unique identifier in said storage area; and

15
10.   The method of claim 9, comprising the step of:

writing the position of the most recent unique identifier to be written out to said storage area to said storage area.

20
11.   The method of claim 10, wherein said position is used to determine the number of unique identifiers that have been overwritten prior to being written out to said file.

25   12.   The method of claim 11, comprising the step of:

responsive to determining that a large number of unique identifiers have been overwritten, increasing the size of said predefined area of storage.

30
13.   An apparatus for tracing the execution path of a computer program comprising at least one module including a plurality of instructions, at least one of said instructions being a branch instruction, said apparatus comprising:

35
means for identifying each branch instruction;

means for evaluating each branch instruction to be one of true and false; and

40

means, responsive to an evaluation of true, for pushing a unique identifier into a predefined area of storage, wherein said unique identifier is associated with the instructions executed as a result of said evaluation of true.

14.   A method for instrumenting a computer program comprising at least one module including a plurality of instructions, at least one of said instructions being a branch instruction, each branch instruction being evaluated to be one of true and false at run-time, with at least one signature instruction for indicating the execution path of said program at run-time, the method comprising the steps of:

identifying each branch instruction;

identifying the instructions associated with an evaluation of true at run-time;

instrumenting said instructions associated with an evaluation of true with a signature instruction, wherein said signature instruction causes a unique identifier to be pushed into a predefined area of storage upon execution of said true instructions at run-time.

15.   A compiler for instrumenting a computer program comprising at least one module including a plurality of instructions, at least one of said instructions being a branch instruction, each branch instruction being evaluated to be one of true and false at run-time, with at least one signature instruction for indicating the execution path of said program at run-time, said compiler comprising:

means for identifying each branch instruction;

means for identifying the instructions associated with an evaluation of true at run-time;

means for instrumenting said instructions associated with an evaluation of true with a signature instruction, wherein said signature instruction causes a unique identifier to be pushed into a predefined area of storage upon execution of said true instructions at run-time.

ABSTRACT

TRACING THE EXECUTION PATH OF A COMPUTER PROGRAM

5   The invention relates to tracing the execution path of a computer
program comprising at least one module including a plurality of
instructions. At least one of these instructions is a branch instruction.
Each branch instruction is identified and evaluated to be one of true and
false. An evaluation of true results in a unique identifier being pushed
10   into a predefined area of storage. This unique identifier is associated
with the instructions executed as a result of an evaluation of true.

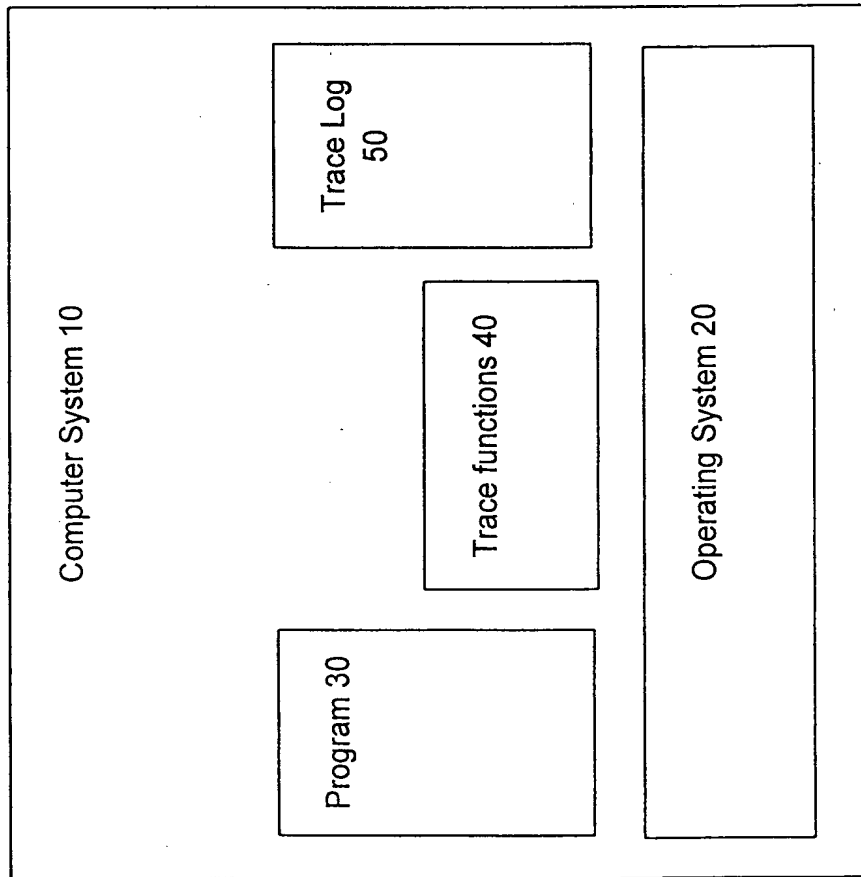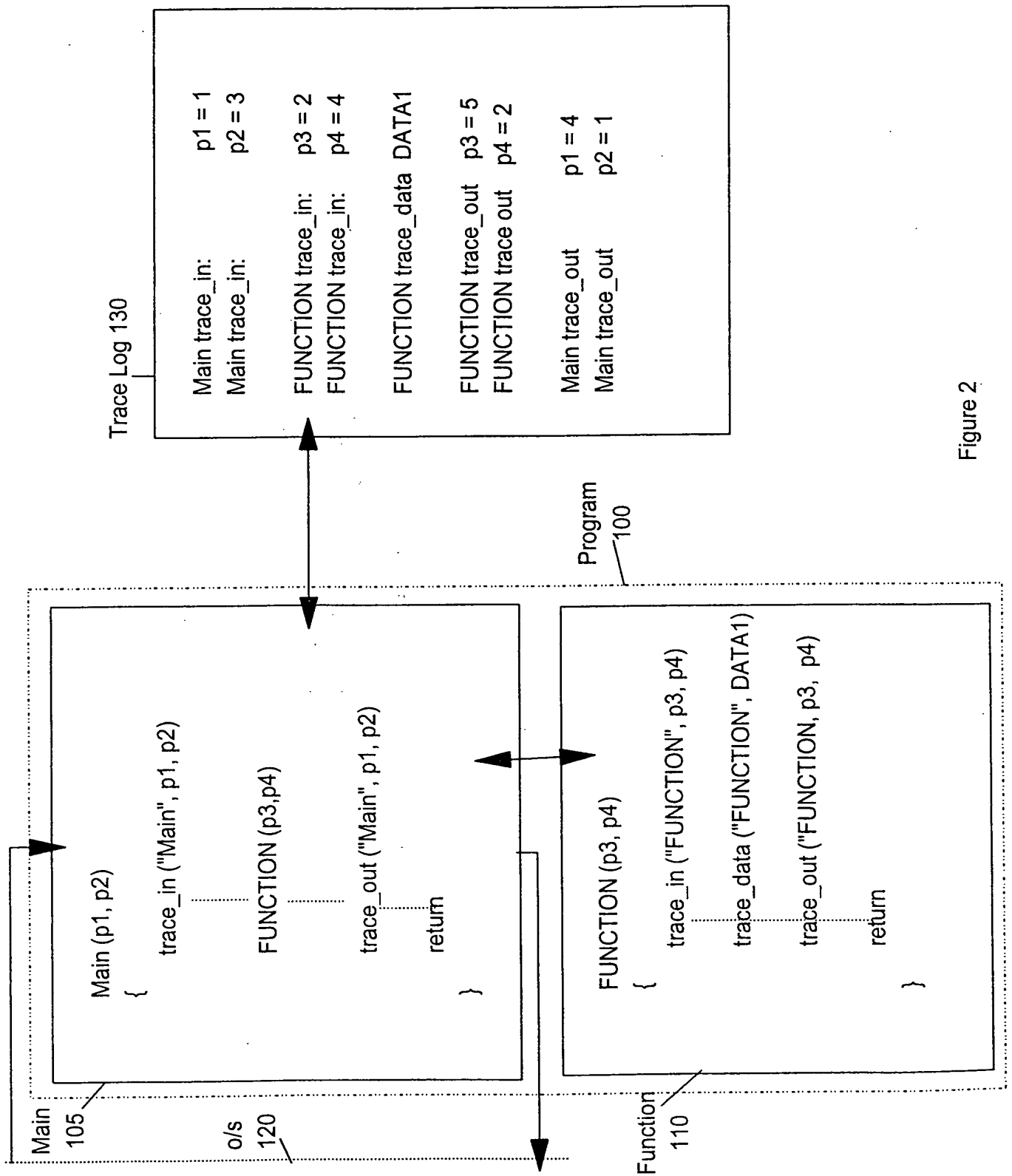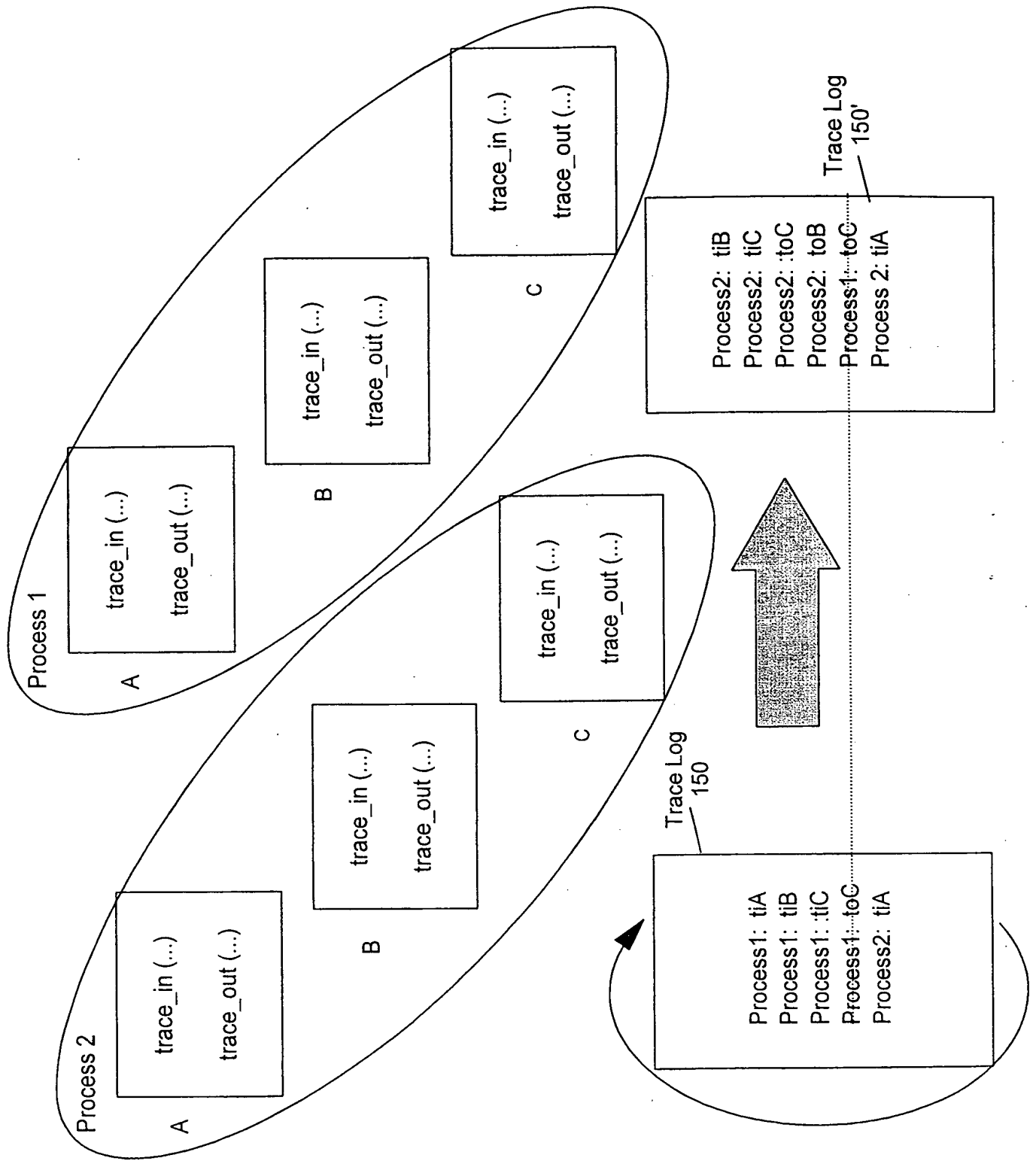Computer System 10

Program 30

Trace functions 40

Trace Log
50

Operating System 20

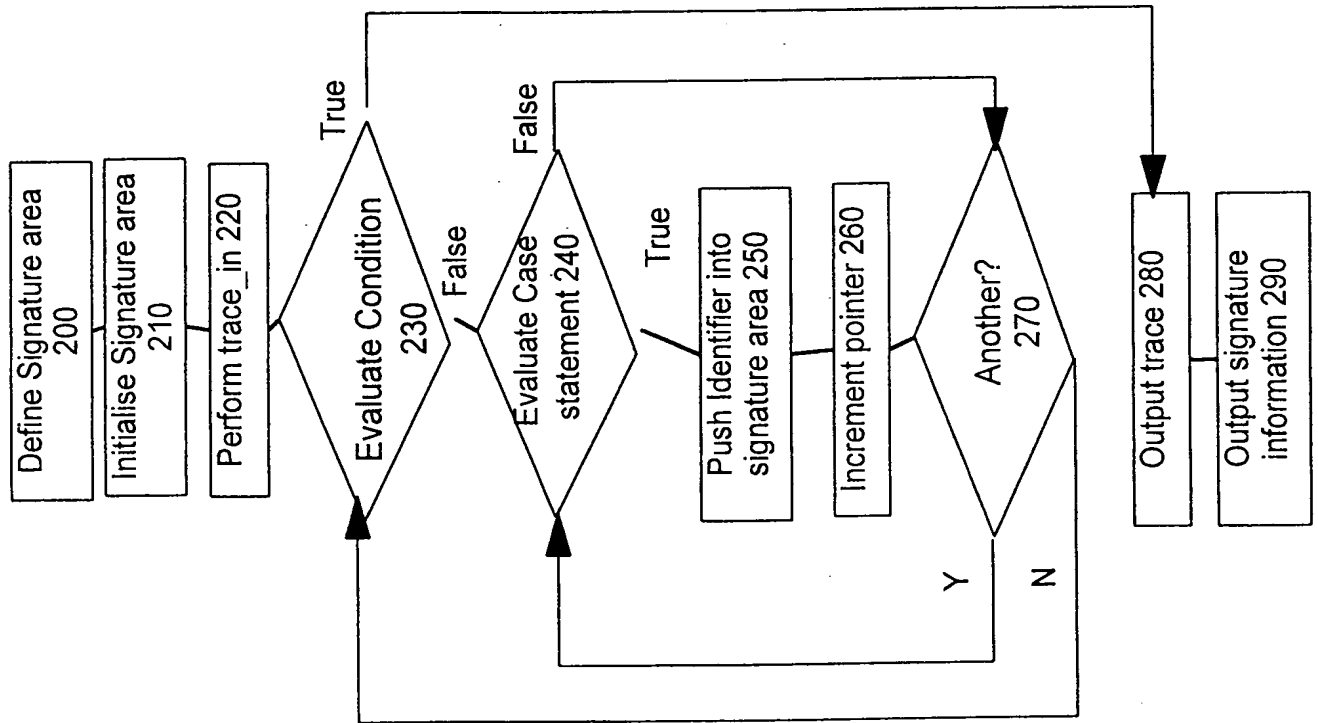Figure 1

Figure 2

Figure 3

Figure 4

Signature Area 300

1	4	2	3	1	2		6

pointer 320

signature points 310

last element 330

Test Program: trace_in

. . . . .

Test Program: trace_out, sig_area

Trace Log 340

```
proc: test program;

define sig_area size(8);   // define signature area

set sig_area = 0;                    // set area empty

trace_in;                   // normal input trace

repeat until condition = TRUE    // iterate over the following

   case test = 1;
   push 1 into sig_area
        5
   ... case test = 2;
   push 2 into sig_area
        3
   ... case test = 3;
   push 3 into sig_area
        4
   ... case test = 4;
   push 4 into sig_area
        2
   ...

end repeat;                           // keep iterating

trace out, sig_area                   // Now includes signature

end;
```

Figure 5

Figure 6

Source Code
415

Object Code
420

Linker
430

Compiler 410

Executable 440

Source Code
400

Figure 7